

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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FOI/PA# 1175732-0

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/22/2002

To: Chicago

From: Chicago

NRA2

Contact: [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 29C-CG-

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Title: [REDACTED] LOAN OFFICER;
PARKWAY BANK AND TRUST
HARWOOD HEIGHTS, ILLINOIS;
FIF

Synopsis: Request to open new investigation.

Details: This EC will serve to request a new 29 matter be opened for captioned individual. [REDACTED] is a former loan officer and [REDACTED] A review of several [REDACTED] (copies attached) [REDACTED]

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On 8/21/02, AUSA [REDACTED] recommended a new investigation be opened to pursue [REDACTED] for violations of applicable bank fraud statutes. Additionally, [REDACTED] noted [REDACTED] was involved in a number of suspicious loans originated at Parkway Bank for the benefit of [REDACTED] and Mayor [REDACTED]

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234 RPF 02, EC

OKA
to [REDACTED]
Source = 09
CPI = FIF
8/21/02

194B-CG-114999-
PBT-
29C-CG-118651-1

To: Chicago From: Chicago
Re: 29C-CG-, 08/22/2002

Donald Stephens, subjects of the Rosemont investigation-194B-CG-114999.

Based on the aforementioned, it is request a new 29
matter be opened on

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/22/2002

[redacted] wm, date of birth [redacted] residence [redacted]
[redacted] was contacted at his place
of employment, [redacted]

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[redacted] telephone number [redacted] After being
advised of the identity of the interviewing agent and the nature of
the interview, [redacted] provided the following information:

[redacted] is a [redacted] who owned and operated [redacted]
[redacted] from [redacted] until [redacted]
The company was forced out of business following an employee's
theft of \$2,000,000 from a client. The employee, [redacted] is
under investigation by the Securities and Exchange Commission.
[redacted] also reported the matter to the Federal Bureau of
Investigation.

[redacted] began clearing his trades through Liss Financial
Services which recently changed its name to National Services, 424
E. Wisconsin Ave., Milwaukee, Wisconsin, 53202. He also briefly
traded through Shamrock Partners and recently became affiliated
with Philip Louis Trading.

[redacted] confirmed he is [redacted] for [redacted]
[redacted] He was introduced to [redacted] approximately [redacted]
ago by [redacted]
[redacted] met [redacted] at least [redacted]
ago along with two close friends of [redacted]
[redacted] has conducted trades for
all three men over the last [redacted] years. [redacted]
[redacted] started [redacted] which
[redacted] purchased and renamed [redacted] was
uncertain if [redacted] was an original investor in [redacted]

[redacted] does short term buying and selling of
stocks and some options trading. His volume averaged several
thousand dollars a year. [redacted] recalled one trade for
approximately fifty thousand dollars. [redacted] currently has no
positions with [redacted]

[redacted] said [redacted] never had to cover any
shortages for trades in his account. More specifically, [redacted]

Investigation on 10/08/02 at Northbrook, Illinois

File # 29C-CG-118651-17 Date dictated 10/15/02

by SA [redacted]

194B-CG-118651-PBT-17

29C-CG-118651

Continuation of FD-302 of [REDACTED]

, On 10/08/02

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stated [REDACTED] was not caught short in December of 2001 in the amount of \$95,000. [REDACTED] would call [REDACTED] for a check or wire transfer for stocks he purchased but never made any type of margin call to him. [REDACTED] added he has never accepted cash for any trade.

[REDACTED] recently closed his position with [REDACTED]. He had some pension and profit sharing accounts with [REDACTED] as well as some accounts related to the [REDACTED].

[REDACTED] does not know [REDACTED] or Rosemont Mayor Donald Stephens other than from the media.